

Docket No. 196737US0PCT/sdc



11005

#8  
KAY  
10-15-02  
Attachment

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Eiichi NAKAMURA, et al.

SERIAL NO: 09/622,915

GAU: 1636

FILED: November 17, 2000

EXAMINER: T. BUTLER

RCE FILED: September 17, 2001

FOR: FULLERENE DERIVATIVES

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RECEIVED

JUL 29 2002

TECH CENTER 1600/2900

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

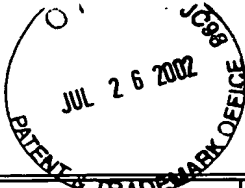
Norman F. Oblon

Registration No. 24,618



22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 196737US0PCT		SERIAL NO. 09/622,915	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Eiichi NAKAMURA, et al.			
				FILING DATE November 17, 2000		GROUP 1636	
				RCE FILED September 17, 2001			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,679,861	10/21/97	T-Y. KUO, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	WO 95/09564	04/13/95	WIPO			
	AP	WO 96/36631	11/21/96	WIPO			
	AQ	WO 94/25424	11/10/94	WIPO (with English Abstract)			X
	AR	43 12 475	10/20/94	GERMANY			X
	AS	WO 96/09275	03/28/96	WIPO			
	AT	0 770 577	05/02/97	EUROPE			
	AU	0 919 520	06/02/99	EUROPE			
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	S. ASAYAMA, et al., Bioconjugate Chem. Vol. 8, No. 6, XP-000725212, pps. 833-838, "DESIGN OF COMB-TYPE POLYAMINE COPOLYMERS FOR A NOVEL pH-SENSITIVE DNA CARRIER," November 1, 1997					
	AX	Y. YOSHIKAWA, et al., FEBS Letters, Vol. 361, No. 2,3, XP-001000181, pps. 277-281, "DIAMINOALKANES WITH AN ODD NUMBER OF CARBON ATOMS INDUCE COMPACTION OF A SINGLE DOUBLE-STRANDED DNA CHAIN," 1995					
	AY	H. ISOBE, et al., Database Chemical Abstract Online, AN 131:18823, XP-002170954, pps. 1-3, "SYNTHESIS AND FUNCTIONS OF A NOVEL DNA BINDING FULLERENE," 1999					
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

RECEIVED  
JUL 29 2002  
TECH CENTER 1600/2900